Center for Substance Abuse Treatment (CSAT) Government Performance and Results Act (GPRA) Training

Division of Substance Abuse and Mental Health (DSAMH)

Research, Evaluation, and Population Health Bureau (formerly Statistics and Research Unit, SRU)

&

University of Delaware
Center for Drug and Health Studies (CDHS)

Training Objectives

- Requirements of the SOR Project:
 - Clients Served
 - Data Collection
 - How to Submit
- Introduction to CSAT GPRA: What is it and why do we need to do it?
- CSAT-GPRA Client Instrument: Content of Instrument
- FAQs

Why GPRA? -> The SOR Project

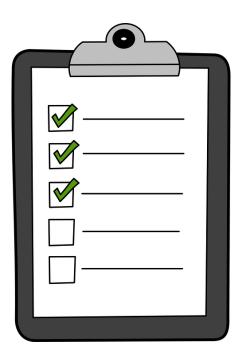
- Delaware received State Opioid Response (SOR) funding to:
 - Reduce opioid related overdoses
 - Reduce **unmet** need for treatment
 - Increase access to medication assisted treatment (MAT)
- SOR Project Target for Clients Served:
 - o 11,850 **unduplicated** clients over a two-year period.
- SAMHSA requires the CSAT GPRA to be completed for clients being served by providers that are funded by SOR.
 - All clients being served by your provider should be interviewed, even if they are not being treated for a substance use disorder (SUD). This includes Behavioral Health clients.

What is the CSAT GPRA?

- Federal law requires providers receiving SOR funds to: 1) set long-term goals and objectives, and 2) specific near-term performance goals
 - Agencies are required to demonstrate that they are using funds effectively and efficiently
- SAMHSA requires this client-level instrument to be completed
- Purpose: CSAT GPRA is used to aid in tracking provider goals and used to monitor progress towards those goals

CSAT-GPRA for SOR

- Interview clients at 3 points in time:
 - Baseline/Intake
 - 6-month (3-month for adolescents only)
 - Discharge



But First: Client Consent

- **Before** conducting the CSAT GPRA clients <u>must</u> be consented using the provided consent form
 - Included in provider training packet
- Clients must be able to give written consent
- Consent must be voluntary
- If a client does not give written consent via the appropriate form, do **NOT** start the interview
 - the GPRA cannot be completed
- If the GPRA is not completed, the client **can still** receive services from a provider
- Recommendation: place a printed copy of the consent form on top of all printed CSAT GPRA tools

Consent During COVID-19

- If the <u>initial appointment is not in-person</u>, please **email the client the entire consent form** (through secure email) or through patient portal.
- The client can give consent via email AND a signed consent form will also need to be obtained at their first in person appointment.
- SAMSHA may revise COVID-19 guidance at any time. Please contact Kristen Rego
 (<u>kristen.rego@delaware.gov</u>) if you have any questions regarding obtaining consent for clients
 who are not on site.

CSAT GPRA Data Collection Requirements

Baseline Interview

- A. Record Management
 - Record Management
 - Behavioral Health Diagnoses
 - Planned Services
 - Demographics
 - Military Family and Development
- B. Alcohol/Drugs
- C. Family/Living Conditions
- D. Employment/Education/Income
- E. Legal Status
- F. Medical Status (Mental/Physical)
 - Violence and Trauma (sensitive questions)
- **G.** Social Connectedness
- H. Program-Specific Questions** (not required)

Follow-Up (every 6 months)

- **(A-G) Except for Demographics and Planned services, ask all baseline sections plus:**
 - I. Follow-Up Status

Discharge

- **(A-G) Except for Demographics and Planned services, ask all baseline sections plus:**
 - J. Discharge Status
 - K. Services Received

Administering GPRA

- Client Identification (ID): Each client should have his/her own unique client ID
- Providers will use the MCI number as the Client ID.
 - o If a client has never been in the State system, they will not have an MCI number and the provider must request the SRU to create an MCI number.
- The client ID is used at all 3 data collection points.
- Only <u>one</u> GPRA intake for each client counts toward providers' target numbers.
- Contract Grant ID will be automated in SPARS
 - Providers should fill in with DSAMH treatment ID

Administering GPRA

- The interviewer should introduce the questions
 - At the beginning of each section, the type of questions that are going to be asked should be introduced
- Do not read the prompts out loud
 - For example: "IF YES", "IF RECEIVED", or "CHECK ALL THAT APPLY"

Administering GPRA

- Do not leave any questions blank,
 - o If client refuses, you must mark "Refused"
 - If the response is "0", you <u>must</u> write "0"

Administering GPRA: Timeframes

Baseline/Intake Interview

Administer within 7 days of client starting services

Follow-up Interview

•	Αt	_	m	_	۱+k	٠,
•	ΑL	U	111	UΙ	ıu	13

- Conduct the 6-month follow-up *regardless of* when the client is *discharged* from the program.
- ☐ If the client cannot be contacted and has not been discharged from the program, then conduct an administrative discharge (FAQ #30).
- Timeframe
 - As early as **1 month prior or 2 months after** the 6-month follow-up anniversary date.
 - ☐ Example: January 1 (intake)
 - July 1 (6-month follow up date);
 - as early as June 1, or as late as Sept 1
- The minimum targeted <u>follow-up rate: 80%.</u>

Administering GPRA: Timeframes

Discharge Interview

- Discharge Interview For Programs With a Discharge Policy or Definition
 - o If client is <u>present on the day of discharge</u>
 - Same day discharge (Sections A-G *skip demographics and planned services*, J & K)
 - o If client is <u>not present on the day of discharge</u>, drops out, unfinished treatment
 - Provider has **14** days (after your policy's number of days without contact) to conduct in-person interviews.
 - O Day 15: Administrative discharge and complete first 4 items in Section A (Record Management); Section J (Discharge); and Section K (Services Received); marking that the GPRA discharge interview was not completed.
 - O If client is <u>discharged within 7 days of intake</u> interview: <u>Administrative discharge</u> and complete first 4 items in <u>Section A</u> (Record Management); <u>Section J</u> (Discharge); and <u>Section K</u> (Services Received); marking that the GPRA discharge interview was not completed.
 - Conduct both follow-up and discharge interviews even if the discharge interview is due during the 6-month follow-up interviews window (For more information: FAQ #28).
 - Submitting a GPRA discharge record for every client is required.
 - Exception: Submitting GPRA discharge interviews for clients who are still in treatment when the grant ends is not required (FAQ #23).

Administering GPRA: Timeframes

- Discharge Interview For Programs <u>Without a Discharge Policy</u> or Definition
 - a. Client has had no contact with the program for 30 days: Conduct in-person GPRA discharge interview within 14 days after discharge (between days 31 and 44 of no contact)
 - (Sections A-G *skip demographics and planned services*, J & K)
 - **b.** The interview has not been conducted by day 15: Conduct an administrative discharge (on day 45 of no contact).
 - and complete first 4 items in <u>Section A (Record Management)</u>; <u>Section J (Discharge)</u>; and <u>Section K (Services Received)</u>; marking that the GPRA discharge interview was not completed.

Administrative Data Collection Requirements: FAQs #12 & #16

- Do we have to collect information on every client our program serves?
 - Yes. You must collect data on all clients.
- How should we handle clients who are readmitted for treatment services after discharge?
 You have 2 options:
 - 1. Administer the **baseline one time per client** or;
 - 2. Administer a second (or third, fourth, etc.) baseline GPRA <u>based on the gap between services</u> (multiple baselines). Typically after, 90 days of no contact/services with the client.
 - If you pick this option, the 6-month follow-up will be required from the latest baseline only.
 - Only <u>one intake</u> for each client counts toward reaching target numbers.

CSAT GPRA Data Submission

Data Submission

- Submit data to DSAMH's SRU Team within 7 days after the interview is conducted.
- Forward completed CSAT GPRAs to SRU using either *SFTP* or a secure *Egress email* to: **DSAMH_SRU@delaware.gov**.
 - When uploading to SFTP or submitting through Egress, please include the following in the file name, if via Egress Email, use as email subject and file name; Provider Name and Site Location, GPRAs, and Submission Date; this will make the file easily identifiable.
 - File name example: Bridge Clinic New Castle GPRAs Jan 2020



FAQs

- Baseline in 1 Organization but Follow Up at Another Organization: As part of the referral process through DTRN, please <u>notify</u> the receiving organization that the client has completed a baseline CSAT GPRA interview
 - **a** copy of the Record Management page can be sent with the referral.
- Baseline and 6 month-follow-up: The program has a <u>1-month window before and 2 months after</u> the 6-month follow-up to conduct the follow-up interview.
- Can't find the client to complete a follow up:
 - O When a provider cannot follow-up with a client, the program must use the CSAT GPRA tool to report that information and forward the CSAT GPRA to SRU (FAQ #12).
 - O If the client cannot be reached for the <u>discharge</u> GPRA interview and receives an <u>administrative</u> discharge, a separate 6-month GPRA follow-up interview must be conducted, completed, and submitted to SRU for the follow-up to count toward the program's target rate:
- Will we get our data back? Yes.
 - O A mechanism for reporting back to providers to inform project implementation will be identified. Indicators, format, and schedule will be determined based on project goals and provider requests.

Resources

Contact Information

- SPARS Help Desk: 855-322-2746, <u>SPARS-support@rti.org</u>
- Candace Wilkinson, Behavioral Health Administrator I (DHSS, DSAMH)
 - o *Office: 302-255-2937*
 - o Email: Candace.Wilkinson@delaware.gov